

Wandsworth Clinical Commissioning Group

REPORT TO BOARD

11th December 2013

Agenda No. 6.7

Title of Document:	Board Assurance Framework
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Summary:	<p>The Board Assurance Framework (BAF) provides a structure and process which enables the Clinical Commissioning Group (CCG) to:</p> <ul style="list-style-type: none"> • be informed about principle risks that may impede the achievement of the organisation's strategic objectives; • review the actions in place to control the risks; and • assess the levels of assurance against the achievement of each objective. <p>They are currently twelve BAF risks, one rated very high (15-25), seven rated as high (8-12) and four moderate (4-6). To date the strategic objective that is most at risk is 'Commission high quality services which improve outcomes and reduce inequalities' (Objective 1) with risk scores totalling 45. The highest level individual risk is (R29) 'Failure to implement the Out of Hospital Strategy' which has a risk score of 20.</p> <p>The Principal Risk scores are being tracked during the financial year to monitor the effectiveness of the actions, controls and assurances. It is also important to note that some risks impact on more than one objective.</p>
Key sections for particular note (paragraph/page), areas of concern etc:	The whole report.
Equality Analysis	<p>Has an Equality Analysis been carried out? No – An assessment is not required for the Board Assurance Framework itself; individual risk areas may be subject to impact assessment if they relate to a development in strategy, policy or process.</p>

<p>Information Privacy Issues</p> <p>Has a consideration of privacy impacts been undertaken and controlled for? Yes</p> <p>Key issues from assessment No privacy impact issues as no PID is reported</p>	
<p>Have any implications been identified in the following areas:</p> <p>Achievement of Corporate Objectives/Risks: These are reflected in the Board Assurance Framework attached.</p> <p>Reputation: Individual risks may have a potential impact on reputation.</p> <p>Delivery: Individual risks may have a potential impact on delivery.</p> <p>Performance: Individual risks may have a potential impact on performance.</p> <p>QIPP: Individual risks may have a potential impact on QIPP.</p> <p>PPI: Individual risks may have a potential impact for patient and public engagement.</p>	
<p>Risks:</p> <p>Have any potential risks been identified on the Risk Register: Yes - These are reflected in the Board Assurance Framework attached.</p>	
<p>Financial Implications: Individual risks may have a potential financial impact.</p> <p>Reviewed by:</p>	
<p>Recommendations:</p> <p>The Board is asked to:</p> <ul style="list-style-type: none"> ▪ Review the Board Assurance Framework as a whole and assess on whether the principal risks are accurately reflected. ▪ Consider whether the controls are effective enough or whether any further action or additional controls are required to address the principle risks. ▪ Review and comment on the scores set out within the Board Assurance Framework. ▪ Note the level of risk detailed in the Board Assurance Framework and the objective currently at the highest risk. ▪ Approve the report. 	
<p>Committees that have previously agreed the report:</p> <p>Integrated Governance Committee – 10th December 2013.</p>	