

Wandsworth Clinical Commissioning Group

REPORT TO BOARD

9th March 2016

Agenda No. 7.3

Title of Document:	Board Assurance Framework
Report Author:	Sandra Allingham, Business Manager
Lead Director/ Clinical Lead:	Sean Morgan, Director of Corporate Affairs, Performance & Quality
Contact details:	sean.morgan@wandsworthccg.nhs.uk
Summary:	<p>The Board Assurance Framework (BAF) provides a structure and process which enables the Clinical Commissioning Group (CCG) to:</p> <ul style="list-style-type: none"> • be informed about principal risks that may impede the achievement of the organisation's strategic objectives; • review the actions in place to control the risks; and • assess the levels of assurance against the achievement of each objective. <p>There are currently ten BAF risks, three rated very high (15-25), five rated as high (8-12), and two moderate (4-6).</p> <p>All risks and their actions are regularly reviewed and scrutinised. The scrutiny process involves the Risk Review Group, the Integrated Governance Committee, and the CCG Board. The scores are tracked during the financial year, to enable monitoring of the effectiveness of the actions, controls and assurances.</p>
Key sections for particular note (paragraph/page), areas of concern etc:	The whole report.
Equality Analysis	<p>Has an Equality Analysis been carried out? No – An assessment is not required for the Board Assurance Framework itself; individual risk areas may be subject to impact assessment if they relate to a development in strategy, policy or process.</p>

Have any implications been identified in the following areas:

Achievement of Corporate Objectives/Risks: These are reflected in the Board Assurance Framework attached.

Reputation: Individual risks may have a potential impact on reputation.

Delivery: Individual risks may have a potential impact on delivery.

Performance: Individual risks may have a potential impact on performance.

QIPP: Individual risks may have a potential impact on QIPP.

PPI: Individual risks may have a potential impact for patient and public engagement.

Risks:

Have any potential risks been identified on the Risk Register: Yes - These are reflected in the Board Assurance Framework attached.

Financial Implications: Individual risks may have a potential financial impact.

Reviewed by:

Recommendations:

The Board is asked to:

- Review the Board Assurance Framework as a whole and assess on whether the principal risks are accurately reflected.
- Consider whether the controls are effective enough or whether any further action or additional controls are required to address the principal risks.
- Review and comment on the scores set out within the Board Assurance Framework.
- Note the level of risk detailed in the Board Assurance Framework and the objective currently at the highest risk.
- Approve the report.

Committees that have previously agreed the report:

The full Risk Register is reviewed by the Integrated Governance Committee.